

# A Weight–Size Trade–Off for Circuits with MOD $m$ Gates

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## ABSTRACT:

We prove that any depth-3 circuit with MOD  $m$  gates of unbounded fan-in on the lowest level, AND gates on the second, and a weighted threshold gate on the top needs either exponential size or exponential weights to compute the *inner product* of two vectors of length  $n$  over  $\text{GF}(2)$ . More exactly we prove that  $\log(wM) = \Omega(n)$ , where  $w$  is the sum of the absolute values of the weights, and  $M$  is the maximum fan-in of the AND gates on level 2. Setting all weights to 1, we have got a trade-off between the numbers of the MOD  $m$  gates and the AND gates. By our knowledge, this is the first trade-off result involving hard-to-handle MOD  $m$  gates.

In contrast, with  $n$  AND gates at the bottom and a *single* MOD 2 gate at the top one can compute the *inner product* function.

The lower-bound proof does not use any monotonicity or uniformity assumptions, and all of our gates have unbounded fan-in. The key step in the proof is a *random* evaluation protocol of a circuit with MOD  $m$  gates.

## 1. INTRODUCTION

### 1.1 MOD $p$ vs. MOD $m$ gates

After the famous lower-bound result of Yao [Y5] and Hastad [H] for Boolean circuits with AND, OR, and NOT gates, the following question emerged [Ba]: What happens if MOD  $\ell$  gates are also allowed in the circuit? Here  $\ell$  is a positive integer, and a MOD  $\ell$  gate outputs 1 if the sum of its input-bits is divisible by  $\ell$ , and 0 otherwise.

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Razborov [R1] proved that the MAJORITY function needs exponential size if it is computed by bounded-depth circuits with AND, OR, NOT and MOD 2 gates.

Smolensky [Sm] generalized this result to circuits with MOD  $p$  gates instead of MOD 2 ones, where  $p$  is a prime or prime-power. The case, where  $p$  is a non-prime-power composite number, remained widely open. No lower bound was known even for depth-2 circuits with MOD 6 gates only.

The depth-2 case was settled by Krause and Waack [KrW]. They proved that any circuit with a MOD  $m$  gate at the top and arbitrary symmetric gates at the bottom needs exponential size to compute the  $ID(x, y)$  function, where  $ID$  is defined as

$$ID(x, y) = \begin{cases} 1, & \text{if } x = y, \\ 0 & \text{otherwise.} \end{cases}$$

Beigel and Tarui [BT] proved that every function computed by polynomial size, constant depth circuits of AND, OR, NOT and MOD  $m$  gates, can also be computed by a depth-2 circuit with a symmetric gate at the top, and  $\exp(\log^{O(1)} n)$  AND-gates at the bottom.

Allender and Gore [AG] proved that any *uniform* sequence of circuits of AND, OR, NOT, and MOD  $m$  gates needs exponential size to compute the *permanent* function. Using the uniformity assumption is *essential* here, since without it, it is unknown whether there exists any language in **NP**, or, even in **NEXP**, which cannot be computed with polynomial-size, bounded-depth circuits of AND, OR, NOT, and MOD  $m$  gates, where  $m$  is a non-prime-power positive integer.

Several results show that the computational properties of the MOD  $m$  and MOD  $p$  gates differ [BBR], [KM], [G], i.e. the MOD  $m$  gates, for non-prime-power  $m$ , are “stronger” in some sense than the MOD  $p$  gates.

On the other hand, we have proved in [G] that depth-3 circuits with fan-in  $k$  MOD  $m$  gates on the bottom, arbitrary symmetric gates at the next, and threshold gates at the top need exponential size to compute the  $k$ -wise inner product function of [BNS], for any odd  $m$  which satisfies  $m \equiv k \pmod{2m}$ . In particular, this result yields a lower bound to the case when the lower and the middle level contain MOD  $m$  gates, and a threshold gate is at the top.

By a result of *Goldmann* and *Håstad* [GH], if the bottom fan-in is bounded by  $k-1$ , then arbitrary gates can be allowed on the bottom. This shows that the bound on the bottom fan-in is a strong assumption.

## 1.2 Our Results

A *weighted threshold function*  $y = y_{\mathbf{w},b}$  is a Boolean function  $y : \{0, 1\}^t \rightarrow \{0, 1\}$ , defined in the following way:

$$y(x_1, x_2, \dots, x_t) = \begin{cases} 1, & \text{if } \sum_{i=1}^t x_i w_i > b \\ 0 & \text{otherwise.} \end{cases}$$

Integers  $w_1, w_2, \dots, w_t$  are the *weights*, integer  $b$  is the *threshold*. A Boolean gate  $Y$  is a *weighted threshold gate* if it computes a weighted threshold function.

Without uniformity conditions or fan-in restrictions, we give here a weight—fan-in trade-off for depth-3 circuits with MOD  $m$  gates on the bottom:

**Theorem 1.** *Let  $m$  and  $n$  be two positive integers, and let  $C$  be a depth-3 circuit with  $2n$  input variables  $x = (x_1, x_2, \dots, x_{2n}) \in \{0, 1\}^{2n}$  and their negations on the input level, unbounded fan-in MOD  $m$  gates on the first, unbounded fan-in AND gates on the second and a weighted threshold gate  $Y$  with weights  $w_1, w_2, \dots, w_t$  on the top. Let  $M$  denote the maximum fan-in of the AND gates on the second level, and let*

$$w = w(C) = \sum_{i=1}^t |w_i|.$$

If  $C$  computes the inner product

$$IP(x) = \sum_{i=1}^n x_{2i-1} x_{2i} \pmod{2}$$

for all  $x \in \{0, 1\}^{2n}$ , then

$$\log(wM) = \Omega(n).$$

The size of the circuit is defined to be the number of the wires in it. Since  $M$  is an obvious lower bound to the size, Theorem 1 is also a size-weight trade-off. Another interpretation of Theorem 1 is the following:

**Corollary 2.** *Suppose that in threshold gate  $Y$  every weight is equal to 1. Let  $K$  denote the fan-in of gate  $Y$ . Then*

$$\log(KM) = \Omega(n).$$

This result yields a trade-off between the fan-ins on the top and on the second level; or, in other words,

between the numbers of the MOD  $m$  gates and the AND gates in the circuit.

**Proof.** Use Theorem 1 with  $w = K$ . ■

One can also prove Theorem 1 for EXACT $_m$  gates at the bottom (these gates outputs 1 exactly when the sum of their input bits is  $m$ ), instead of MOD $_m$  ones. Or, for a more general class:

**Definition 3.** *Boolean function  $f : \{0, 1\}^\ell \rightarrow \{0, 1\}$  is called **pc-simple** with parameter  $m$  (stays for probabilistic-communication-simple), if for all  $I \subset \{1, 2, \dots, \ell\}$  there exist functions  $u_I, v_I : \{0, 1\}^\ell \rightarrow \{1, 2, \dots, m\}$  such that*

- $u_I$  depends only on variables  $\{x_i : i \in I\}$ ,
- $v_I$  depends only on variables  $\{x_i : i \in \{1, 2, \dots, \ell\} - I\}$ , and

$$f(x) = 1 \iff u_I(x) = v_I(x).$$

**Example.** MOD  $m$  gates compute a pc-simple function:

$$u_I = - \sum_{i \in I} x_i \pmod{m}, \quad v_I = \sum_{i \in \{1, 2, \dots, \ell\} - I} x_i \pmod{m}.$$

Or, EXACT $_m$  gates also compute a pc-simple function:

$$u_I = m - \sum_{i \in I} x_i, \quad v_I = \sum_{i \in \{1, 2, \dots, \ell\} - I} x_i.$$

So we can state

**Theorem 4.** *Let  $m$  and  $n$  two positive integers, and let  $C$  be a depth-3 circuit with  $2n$  input variables  $x = (x_1, x_2, \dots, x_{2n}) \in \{0, 1\}^{2n}$  and their negations on the bottom, gates, which computes pc-simple functions with parameter  $m$  on the first, unbounded fan-in AND gates on the second and a weighted threshold gate  $Y$  with weights  $w_1, w_2, \dots, w_t$  on the top. Let  $M$  denote the maximum fan-in of the AND gates on the second level, and let*

$$w = w(C) = \sum_{i=1}^t |w_i|.$$

If  $C$  computes  $IP(x)$  for all  $x \in \{0, 1\}^{2n}$ , then

$$\log(wM) = \Omega(n).$$

### 1.3 Comparison with previous work

*Krause* and *Waack* [KrW] proved that computing  $ID(x, y)$  (the Boolean function which is 1 exactly if  $x = y$ ) on a circuit with a MOD  $m$  gate at the top and symmetric gates at the bottom, needs exponential size. However,  $ID(x, y)$  can easily be computed by a circuit  $C$  of our Theorem 1:  $n$  MOD 2 gates at the bottom and one AND gate at the second level suffices. On the other hand, the  $IP(x)$  function, which is hard for our circuit, is easy for the circuit of *Krause* and *Waack*:  $n$  AND gates at the bottom and one MOD 2 gate at the top can compute it. So the powers of our circuit and the circuit of [KrW] are *incomparable*.

Our earlier result in [G] was a lower bound for depth-3 circuits with a threshold gate at the top, arbitrary symmetric gates at the middle, and MOD  $m$  gates of bounded fan-in on the bottom, for some  $m$ . The present lower bound of Theorem 1 does not need the restriction on  $m$  and the bound on the fan-in, but, on the second level, only AND gates are allowed. The proof of the present result uses an elegant 2-player probabilistic communication protocol, instead of the intricate deterministic multi-party protocol of [G].

In addition, by our knowledge, the present result is the first which gives a trade-off between the computational resources in a circuit with hard-to-handle MOD  $m$  gates.

## 2. COMMUNICATION COMPLEXITY

The notion of *communication complexity* was introduced by *Yao* [Ya1]. In this model two players, Alice and Bob intend to compute the value of a Boolean function  $f(x, y) : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}$ , where Alice knows  $x \in \{0, 1\}^n$ , Bob knows  $y \in \{0, 1\}^n$ , and both of them has unlimited computational power (e.g. Alice would compute  $f(x, y)$  at once if she also knew  $y$ ). The players communicate through a 2-way channel, and function  $f$  is computed, if one of them announces the (correct) value of  $f(x, y)$ . The cost of the computation is the number of bits communicated.

It is clear that every function can be computed using  $n + 1$  bits of communication: Alice sends her  $n$  bits to Bob, then Bob computes  $f(x, y)$ , and sends this bit to Alice.

The protocol above is optimal if  $f = ID(x, y)$ , (c.f. [Ya1]).

However, if Alice and Bob are allowed to use probabilistic bits (coin-flips) in their protocol, they can do better: with communicating only  $O(\log n)$  bits, they can compute  $ID(x)$  with high probability, as it was shown by several authors [Y4], [MS], [JPS], [Ra]:

- (i) Alice chooses a random prime  $0 < p \leq n^2$ , and transmits the  $(p, x \bmod p)$  pair to Bob.
- (ii) Bob outputs “not equal” if  $x \not\equiv y \pmod{p}$  and “equal” otherwise.

The “not equal” answer is always correct. The “equal” may be not. It is incorrect if and only if  $p$  divides  $x - y \neq 0$ . A rough estimation of the probability of this event:  $|x - y| \leq 2^n$ , so  $x - y$  has at most  $n$  different prime divisors. By the Great Prime Number Theorem, there are  $\Omega(n^2 / \log n)$  primes  $p$  under  $n^2$  for Alice to choose from, so the probability that it happens to divide  $x - y$  is

$$O\left(\frac{\log n}{n}\right).$$

A version of this random protocol will play a key role in the proof of Theorem 1.

## 3. PROOF OF THEOREM 1

First we prove (Lemma 5) that a depth-2 sub-circuit  $C_i$  of  $C$  correctly computes  $IP(x)$  on a “big enough” portion of all inputs. After that we show a probabilistic 2-player protocol in our Main Lemma (Lemma 8) which computes the outcome of circuit  $C_i$  with high probability. The proof then concludes with the application of a lower bound result of *Chor* and *Goldreich* [CG] (Theorem 9) which yields a lower bound to the probabilistic communication complexity of protocols, computing the outcome of  $C_i$  on a “big enough” portion of all inputs.

**Lemma 5.** *Let  $C_1, C_2, \dots, C_t$  denote the depth-2 sub-circuits of  $C$ , each with an AND gate at the top, and unbounded-fan-in MOD  $m$  gates at the bottom. Let  $\Pr$  denote the probability measure associated with the uniform distribution on  $\{0, 1\}^{2n}$ . Then there exists an  $i$  ( $1 \leq i \leq t$ ) such that either*

$$\frac{1}{2} + \frac{1}{3w} - \frac{1}{2^{\frac{w}{2}-3}} \leq \Pr(C_i(x) = IP(x))$$

or

$$\frac{1}{2} + \frac{1}{3w} - \frac{1}{2^{\frac{w}{2}-3}} \leq \Pr(\text{NOT}(C_i(x)) = IP(x)).$$

**Proof.** We need the following result of [HMPST]:

**Lemma 6.** ([HMPST], Lemma 3.3)

*Let  $C$  be a circuit with  $2n$  inputs, with a threshold gate  $T$  with weights  $w_1, w_2, \dots, w_t$  at the top,  $w = \sum_{i=1}^t |w_i|$ , and suppose that the in-coming wires of gate  $T$  are connected to subcircuits  $C_1, C_2, \dots, C_t$ . Let  $A, B \subset \{0, 1\}^{2n}$  be disjoint sets, such that circuit  $C$*

accepts the elements of  $A$  and rejects those in  $B$ . Let  $\Pr_A$  (respectively,  $\Pr_B$ ) denote the uniform probability distribution on  $A$  (respectively, on  $B$ ). Then

$$\max_{1 \leq i \leq t} |\Pr_A(C_i(x) = 1) - \Pr_B(C_i(x) = 1)| \geq \frac{1}{w}.$$

**Proof.** See [HMPST]. ■

Let us apply Lemma 6 to the circuit  $C$  of the statement of Lemma 5. With  $A = IP^{-1}(1)$ ,  $B = IP^{-1}(0)$ ,  $w = w(C)$  we get that  $\exists i : 1 \leq i \leq t$ ,

$$(1) \quad |\Pr_A(C_i(x) = 1) - \Pr_B(C_i(x) = 1)| \geq \frac{1}{w}.$$

We also need:

**Lemma 7.**

$$|\Pr(A) - \Pr(B)| \leq \frac{1}{2^{n/2}}.$$

**Proof.** See [HMPST] Lemma 3.4. or [CG]. ■

Since  $\Pr(A) + \Pr(B) = 1$ , Lemma 7 implies:

$$(2) \quad \frac{1}{2} - \frac{1}{2^{\frac{n}{2}+1}} \leq \Pr(A) \leq \frac{1}{2} + \frac{1}{2^{\frac{n}{2}+1}}$$

$$(3) \quad \frac{1}{2} - \frac{1}{2^{\frac{n}{2}+1}} \leq \Pr(B) \leq \frac{1}{2} + \frac{1}{2^{\frac{n}{2}+1}}$$

It is easy to see that

$$\Pr_A(C_i(x) = 1) = \Pr(C_i(x) = 1 | x \in A),$$

and

$$\Pr_B(C_i(x) = 1) = \Pr(C_i(x) = 1 | x \in B),$$

where  $\Pr(X|Y)$  denotes the conditional probability:

$$\Pr(X|Y) = \frac{\Pr(X \text{ AND } Y)}{\Pr(Y)}.$$

So, from (1):

$$\left| \Pr(C_i(x) = 1 | x \in A) - \Pr(C_i(x) = 1 | x \in B) \right| \geq \frac{1}{w}.$$

From now on, as a shorthand, we write  $A$  instead of  $x \in A$  and  $B$  instead of  $x \in B$ .

So

$$\left| \frac{\Pr(C_i(x) = 1, A)}{\Pr(A)} - \frac{\Pr(C_i(x) = 1, B)}{\Pr(B)} \right| \geq \frac{1}{w}$$

thus

$$\left| \Pr(C_i(x) = 1, A) - \frac{\Pr(A)}{\Pr(B)} \Pr(C_i(x) = 1, B) \right| \geq \frac{\Pr(A)}{w} \geq \frac{1}{3w}$$

for large enough  $n$ , using inequality (2).

By the triangle-inequality:

$$\begin{aligned} \frac{1}{3w} &\leq \left| \Pr(C_i(x) = 1, A) - \frac{\Pr(A)}{\Pr(B)} \Pr(C_i(x) = 1, B) \right| \leq \\ &\leq |\Pr(C_i(x) = 1, A) - \Pr(C_i(x) = 1, B)| + \\ &+ \left| 1 - \frac{\Pr(A)}{\Pr(B)} \right| \Pr(C_i(x) = 1, B) \leq \\ &\leq |\Pr(C_i(x) = 1, A) - \Pr(C_i(x) = 1, B)| + \frac{1}{2^{\frac{n}{2}-2}} \end{aligned}$$

using Lemma 7 and (3).

Consequently

$$\frac{1}{3w} - \frac{1}{2^{\frac{n}{2}-2}} \leq |\Pr(C_i(x) = 1, A) - \Pr(C_i(x) = 1, B)|.$$

Let us assume now that

$$\Pr(C_i(x) = 1, A) > \Pr(C_i(x) = 1, B).$$

So

$$\begin{aligned} \frac{1}{3w} - \frac{1}{2^{\frac{n}{2}-2}} &\leq \\ &\leq \Pr(C_i(x) = 1, A) - \Pr(C_i(x) = 1, B), \end{aligned}$$

and, since

$$\Pr(B) = \Pr(C_i(x) = 1, B) + \Pr(C_i(x) = 0, B),$$

$$\begin{aligned} \frac{1}{3w} - \frac{1}{2^{\frac{n}{2}-2}} &\leq \\ &\leq \Pr(C_i(x) = 1, A) + \Pr(C_i(x) = 0, B) - \Pr(B). \end{aligned}$$

From here, using the lower bound in inequality (3):

$$(4) \quad \frac{1}{2} + \frac{1}{3w} - \frac{1}{2^{\frac{n}{2}-3}} \leq \Pr(C_i(x) = IP(x)),$$

because

$$\Pr(C_i(x) = IP(x)) = \Pr(C_i(x) = 1, A) + \Pr(C_i(x) = 0, B).$$

Similarly, if  $\Pr(C_i(x) = 1, A) < \Pr(C_i(x) = 1, B)$  holds, then — exchanging the roles of  $A$  and  $B$  — we shall get:

$$(5) \quad \frac{1}{2} + \frac{1}{3w} - \frac{1}{2^{\frac{n}{2}-3}} \leq \Pr(\text{NOT}(C_i(x)) = IP(x)),$$

and this completes the proof of Lemma 5. ■

**Lemma 8.** Let  $g(x) = g(x_1, x_2, \dots, x_{2n}) : \{0, 1\}^{2n} \rightarrow \{0, 1\}$  such that  $g(x)$  is computed by a depth-2 circuit  $C_1$  with an AND gate at the top and  $N$  MOD $_m$  gates at the bottom. Let  $I \subset \{1, 2, \dots, 2n\}$ , and suppose that Alice knows the values of the variables  $U = \{x_i : i \in I\}$ , and Bob knows the values of the variables  $V = \{x_j : j \in \{1, 2, \dots, 2n\} - I\}$ . Let  $\alpha > 2$ . Then there exists a probabilistic protocol which communicates

$$\alpha \log N + \log \log m + O(1)$$

bits, and for each  $x \in \{0, 1\}^{2n}$ , it computes  $g(x)$  with success probability at least

$$1 - \frac{\alpha \log N + \log \log m}{N^{\alpha-1}}.$$

**Proof.** One can suppose that both Alice and Bob know the circuit  $C_1$  and index-set  $I$ . First, they prepare a matrix  $T$  with 2 columns and  $N$  rows in the following way:

Row  $\ell$  of  $T$  is corresponded to a MOD $_m$  gate  $G_\ell$  of circuit  $C_1$ :

– The first entry in row  $\ell$  is the mod  $m$  sum of those inputs of gate  $G_\ell$ , which are also elements of set  $U$  (i.e. known for Alice);

– the second entry in row  $\ell$  is the mod  $m$  sum of those inputs of gate  $G_\ell$ , which are also elements of set  $V$  (i.e. known for Bob),

for  $\ell = 1, 2, \dots, N$ . (If  $\bar{x}_i$  is an input to  $G_\ell$ , then  $1 - x_i$  is added up mod  $m$ .)

Let us observe that  $G_\ell$  outputs 1 if and only if the mod  $m$  sum of row  $\ell$  of  $T$  is 0. Circuit  $C_1$  outputs 1, if and only if the mod  $m$  sum of *each* row of  $T$  is 0.

Since the first column of  $T$  consists of sums of variables from  $U$ , this column is known for Alice. Similarly, the second column of  $T$  is known for Bob.

Alice knows the first column of  $T$ , and that the circuit outputs 1 if and only if every row has a mod  $m$  sum 0. Consequently, Alice knows that the only case when the circuit outputs 1 is when the second column of  $T$  is

$$t' = (t'_{12}, t'_{22}, \dots, t'_{N2})$$

where  $t'_{i2} = m - t_{i1} \bmod m$ , where  $t_{i1}$  is the  $i^{\text{th}}$  entry in the first column of  $T$ ,  $i = 1, 2, \dots, N$ .

$t'$  can be thought of as an  $m$ -ary representation of an integer  $0 \leq t' \leq m^N - 1$ .

Now we can use a version of the randomized protocol described in Section 1.2:

(i) Alice chooses a random prime  $p$ :

$$2 \leq p \leq N^\alpha \log m$$

and transmits the  $(p, t' \bmod p)$  pair to Bob with  $O(\alpha \log N + \log \log m)$  bits of communication.

(ii) Bob outputs “Yes” if the second column of  $T$ , interpreted as an  $m$ -ary number,  $t$ , is congruent to  $t' \bmod p$ , and “No” otherwise.

Again, the “No” answer is always correct. The “Yes” answer is incorrect exactly when  $p$  is a divisor of  $0 < |t - t'| \leq m^N - 1$ . By a rough estimation,  $t - t'$  has at most  $N \log m$  different prime-divisors, but Alice have had

$$\frac{N^\alpha \log m}{\alpha \log N + \log \log m}$$

possibilities to choose from (using the Great Prime Number Theorem), so the failure probability is at most:

$$\frac{\alpha \log N + \log \log m}{N^{\alpha-1}}.$$

■

Now we are ready to prove Theorem 1.

Suppose that circuit  $C$  computes  $IP(x)$ . For  $i = 1, 2, \dots, N$ , let  $D_i$  be defined as

$$D_i = \{x \in \{0, 1\}^{2n} : C_i(x) = IP(x)\}.$$

By Lemma 5, there exists an  $i$  such that

$$\frac{1}{2} + \frac{1}{3w} - \frac{1}{2^{\frac{w}{2}-3}} \leq \Pr(D_i)$$

or

$$\frac{1}{2} + \frac{1}{3w} - \frac{1}{2^{\frac{w}{2}-3}} \leq \Pr(\{0, 1\}^{2n} - D_i).$$

Without restricting the generality we assume that the first inequality holds. Let  $D = D_i$ . Let  $g(x)$  be the function, computed by circuit  $C_i$ . Then

$$(6) \quad \forall x \in D : g(x) = IP(x).$$

By Lemma 8, there exists a probabilistic protocol, which computes  $g(x)$ , and its success probability is at least

$$(7) \quad 1 - \frac{\alpha \log N + \log \log m}{N^{\alpha-1}} = 1 - \frac{\alpha \log N + O(1)}{N^{\alpha-1}},$$

independently from  $x$ .

Because of (6), if Alice and Bob computes  $g(x)$  with  $O(\alpha \log n + O(1))$  communication (with a constant  $m$ ), then they will get the value of  $IP(x)$  with probability (7), if  $x \in D$ .

In other words, if Alice and Bob computes  $g(x)$  by the protocol of Lemma 8, then they will get  $IP(x)$  with average success probability

$$(8) \quad \Pr(D) \left( 1 - \frac{\alpha \log N + O(1)}{N^{\alpha-1}} \right),$$

where the ‘‘average’’ is computed over all  $x \in \{0, 1\}^{2n}$ .

We can apply here a lower bound result of *Chor and Goldreich* [CG]:

**Theorem 9.** [CG] *Suppose that probabilistic protocol  $P$ , computing  $IP(x)$ , has an average success probability at least*

$$\frac{1}{2} + \varepsilon \text{ for some } \varepsilon > \frac{1}{2^{\frac{n}{2}-2}},$$

*and the protocol communicates — for fixed  $\varepsilon$  and for fixed  $n$  — always  $\gamma_\varepsilon(n)$  bits. Then*

$$\gamma_\varepsilon(n) > n - 3 - 3 \log \frac{1}{\varepsilon}.$$

■

**Case 1.** If  $N < \min(12w, 2^{\frac{n}{2}-3})$ , then we can give the following lower estimation for (8):

$$(9) \quad \left( \frac{1}{2} + \frac{1}{3w} - \frac{1}{2^{\frac{n}{2}-3}} \right) \left( 1 - \frac{\alpha \log N + O(1)}{N^{\alpha-1}} \right) \geq \\ \geq \frac{1}{2} + \frac{1}{3w} - \frac{3}{N^{\alpha-2}},$$

assuming, that  $N^{\alpha-2} < 2^{\frac{n}{2}-3}$ , and  $\alpha \geq 3$ .  
Let us set  $\alpha$  such that

$$(10) \quad 6w = \frac{1}{3} N^{\alpha-2},$$

where we use the obvious facts that  $N \geq 2$ , and  $w > 1$ . If, with this  $\alpha$ ,  $N^{\alpha-2} < 2^{\frac{n}{2}-3}$  does not hold, then we have got a proper lower bound to  $w$ , and we are ready. Otherwise, we can use (9) and Theorem 9, with  $\varepsilon = 3N^{-\alpha+2}$ :

$$(11) \quad \gamma_\varepsilon(n) > n - 3(\alpha - 2) \log N - O(1).$$

Because of (10), and since  $\alpha > 3$ , the protocol of Lemma 8 communicates at most

$$\left( \frac{\alpha}{\alpha - 2} \right) \log w + O(1) \leq 3 \log w + O(1)$$

bits, so (11) can be written:

$$(12) \quad 6 \log w > n - O(1).$$

**Case 2.** If  $12w \leq \min(N, 2^{\frac{n}{2}-3})$ , then the lower estimation for (8) is:

$$\left( \frac{1}{2} + \frac{1}{3w} - \frac{1}{2^{\frac{n}{2}-3}} \right) \left( 1 - \frac{\alpha \log N + O(1)}{N^{\alpha-1}} \right) \geq \\ \geq \frac{1}{2} + \frac{1}{6w}.$$

Let

$$6w = N^{\alpha-2},$$

where  $2 < \alpha < 3$ . Now, the protocol of Lemma 8 has communication of at most  $3 \log N + O(1)$  bits, so, from Theorem 9:

$$(13) \quad 6 \log N > n - O(1).$$

Now, unifying (12) and (13):

$$\log(Nw) \geq \max(\log N, \log w) \geq \frac{1}{6}n - O(1) = \Omega(n),$$

which completes the proof. ■

#### 4. PROOF OF THEOREM 4.

(Sketch) The proof is the same as that of Theorem 1, except Lemma 8 should be stated for a depth-2 circuit  $C_1$  with an AND gate at the top and gates, computing pc-simple functions with parameter  $m$ , at the bottom. The probabilistic protocol of Lemma 8 can also be modified to this class of circuits with the same result. The further details are omitted here. ■

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